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August 27, 1999

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Hon. Assistant Commissioner for Patents
Washington D.C. 20231

Re: Proposed Patent Application for TOSHIHARU YANAGIDA entitled
"SEMICONDUCTOR APARATUS AND PROCESS OF PRODUCTION
THEREOF", Attorney Docket No. P99,1318

SIR:

Under the provisions of 37 CFR § 1.41 (c), I am filing the attached application with 24 claims, 12 sheets of informal drawings and filing fee on behalf of TOSHIHARU YANAGIDA and request that the application papers be assigned a serial number and filing date.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 C.F.R. § 1.53(b) and 37 C.F.R. § 1.53(f).

Respectfully submitted,



David R. Metzger (Reg. No. 32,919)
Attorney for Applicant

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P99,131

First Named Inventor or Application Identifier

Toshiharu Yanagida

Express Mail Label No:

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

☒ Specification [Total Pages 54]
☒ Drawing(s) (35USC 113) [Total Pages 12]
☒ Declaration and Power of Attorney [Total Pages 2]

- a. ☐ Newly executed declaration (Original copy)
b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 - Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity Statement filed in prior application, Status still proper and desired (Faxed copy of original)
12. ☐ Certified Copy of 2 Priority Document(s) Japanese Application No. P10-247393 filed September 1, 1998 and No. P11-146942 filed May 26, 1999
13. ☐ Other:

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: /

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$760.00
TOTAL CLAIMS 20	24	4	\$18.00	\$72.00
INDEPENDENT CLAIMS 3	2			
ANY MULTIPLE DEPENDENT CLAIMS? () YES (X) NO				
			TOTAL FILING FEE ->	\$832.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 08-2290. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 832.00 to cover the filing fee is enclosed.

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491:1190

U-11

DATE: August 27, 1999

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

09/08/1999 MPEOPLES 00000017 09385959

01 FC:101
02 FC:103

760.00 OP
72.00 OP